



REPLY TO  
ATTENTION OF

**DEPARTMENT OF THE ARMY**  
**HEADQUARTERS, III CORPS AND FORT HOOD**  
**1001 761ST TANK BATTALION AVENUE**  
**FORT HOOD, TEXAS 76544-5000**

**COMMAND POLICY**  
**G2-01**

AFHD-MSE-IN

JAN 16 2014

MEMORANDUM FOR SEE DISTRIBUTION

SUBJECT: Intelligence Oversight Program

1. REFERENCES.

- a. Executive Order 12333 with amendments EO 13284, EO 13355, and EO 13470.
- b. DoD 5240.01, Department of Defense Intelligence Activities.
- c. DoD 5240.1-R, Activities of Intelligence Components that affect US Persons.
- d. DTM 08-52, DoD Guidance for Reporting Questionable Intelligence Activities and Significant or Highly Sensitive Matters.
- e. AR 381-10, US Army Intelligence Activities, 03 May 2007.

2. **APPLICABILITY.** This policy applies to all units under the Senior Commander (SC), Fort Hood ADCON/Title 10 or Training and Readiness Authority (TRA), including tenant units; all G2/S2 staff sections and intelligence units in their entirety; Company Intelligence Support Team (COIST) personnel; and individually to every military, government civilian, or contractor personnel conducting, supervising, or providing staff oversight of intelligence collection, analysis, or dissemination operations.

3. **POLICY.** This policy establishes specific requirements regarding implementation of the Intelligence Oversight Program for the SC and III Corps. Intelligence Oversight (IO) is a program designed to enable intelligence components to effectively carry out their authorized missions while protecting constitutional rights and privacy of US persons by clearly defining who is legal to target while conducting intelligence activities and who is not. Intentionally targeting US persons without appropriate mission approvals is a willful violation of US law and a serious crime punishable by court martial under the UCMJ. Commanders are responsible for the IO Program within their specific formations and shall ensure that assigned personnel and unit operations follow the laws, directives, regulations, and policies related to IO. Each III Corps unit, down to the battalion level, shall establish and maintain a sustainable program which adheres to the following directives:

- a. Unit Intelligence Oversight Staff Officers. Commanders shall appoint a unit Intelligence Oversight Officer (IOO) in writing via appointment memorandum. IOOs must be a commissioned officer, warrant officer, SSG or above, be actively involved in the intelligence mission, and have a thorough understanding of unit intelligence operations.

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Separately, IOOs shall acknowledge through a signed memorandum their understanding that : (1) IO functions and responsibilities continue regardless of unit posture in garrison, deployed, or rear detachment environments and (2) they have working knowledge of DoD-directed criteria and procedures for reporting questionable intelligence activities, significant or highly sensitive matters involving intelligence activities, and crimes reportable to the Attorney General, as described in reference d, above. Copies of appointment orders and IOO acknowledgement memorandums shall be retained in unit IO continuity books, with copies furnished to the higher headquarters IOO. At unit level, IOOs shall ensure intelligence oversight of operations is routine, integrated into unit organizational inspection programs, SOPs and TTPs; subject to annual internal inspections and periodic inspections by higher headquarters. In the event of IO violations or questionable activity, IOOs will conduct investigations and submit incident reports as necessary.

a. IO Training Requirements. IO training shall include, at minimum, procedures 1-4 and 14-17 as specified in reference e, and DoD-directed criteria and procedures described in reference d, above. All personnel conducting or supervising intelligence collection, analysis, or dissemination operations, and those with influence over intelligence operations or personnel engaged in such operations, including commanders and command staff, shall receive the directed training. IO training shall be incorporated into unit in-processing procedures. Specified unit personnel shall receive initial IO training within 30 days of assignment and sign an IO Statement of Understanding and Individual Reporting Responsibilities (enclosure 1) which shall be maintained on record for two years. Specified personnel shall also receive IOO led scenario-based refresher training annually. Unit participants must resign their Statement of Understanding and Individual Reporting Responsibilities upon completion of the annual training requirement. IOOs shall document completion of annual training via memorandum which identifies training participants by name and furnish a copy to their higher IOO.

b. Annual Formal IO Review. Commanders shall ensure that subordinate units conduct an annual formal IO review of intelligence documents and files and purge documents as required. Unit IOOs shall document results of the annual review via a memorandum which identifies findings and provide a copy to their higher headquarters IOO to confirm completion of this requirement.

c. IO Continuity Books. Unit IOOs will maintain an IO continuity book that includes hard copies of applicable regulations and policies, appointment orders, training records, documentation of formal file reviews, and recent inspection results. IO Continuity books are subject to inspection to validate compliance with this policy and shall include, at minimum:

- 1) III Corps and Fort Hood Command Policy G2-01.
- 2) References a through e, above.

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- 3) Intelligence Oversight Officer appointment orders.
- 4) Individual and annual IO training records.
- 5) Results from unit IO inspections and inspections by higher headquarters.
- 6) Statements of Individual Reporting Responsibility.
- 7) Formalized procedures for reporting questionable activities.

b. Reporting Violations or Questionable Activity. Any instances of violations or questionable activity will be reported in accordance with established III Corps serious incident report (SIR) reporting procedures and shall include an initial assessment of implications for the execution of DoD missions IAW reporting criteria set forth in attachment 2 of DoD DTM 08-52.

4. EXPIRATION. This command policy memorandum supersedes Command Policy GS-01, dated 23 March 2012. This policy will remain in effect until superseded or rescinded.

5. The point of contact for this Command Policy is the Command Intelligence Oversight Officer at 254-286-5047 or DSN 566-5047.

Encl

  
ANTHONY R. IERARDI  
Major General, USA  
Commanding

DISTRIBUTION:  
IAW FH Form 1853: A

**STATEMENT OF UNDERSTANDING AND  
INDIVIDUAL REPORTING RESPONSIBILITIES**

REFERENCE: AR 381-10 (US Army Intelligence Activities)

I, \_\_\_\_\_, certify that I understand, at a minimum:  
**(RANK AND FULL NAME)**

1. Procedures 1 through 4 of AR 381-10 which govern intelligence component collection, retention, and dissemination of information concerning US persons.
2. Procedures 14 through 17 of AR 381-10 which govern:
  - a. Individual employee conduct and command responsibilities .
  - b. Identifying, investigating, and resolving allegations of questionable intelligence activities.
  - c. Limitations of intelligence support provided or received from force protection programs, multinational and joint intelligence activities, and other DoD investigative organizations.

I further certify that I will:

- a. Ensure intelligence activities are conducted in accordance with applicable law and policy, including EO12333 as amended by EO13284, EO 13355, EO 13470, Department of Defense Directives 5240.1 and 5240.1-R, AR 381-10, and other applicable policy.
- b. Report questionable intelligence activities upon discovery IAW Procedure 15 of AR 381-10.
- c. Report federal crimes immediately upon discovery IAW Procedure 16 of AR 381-10.

**INITIAL TRAINING**

**(SIGNATURE)** \_\_\_\_\_ **(DATE)** \_\_\_\_\_

**REFRESHER TRAINING**

**(SIGNATURE)** \_\_\_\_\_ **(DATE)** \_\_\_\_\_

**REFRESHER TRAINING**

**(SIGNATURE)** \_\_\_\_\_ **(DATE)** \_\_\_\_\_

**REFRESHER TRAINING**

**(SIGNATURE)** \_\_\_\_\_ **(DATE)** \_\_\_\_\_

**Enclosure**